



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/720,481

Confirmation No. :

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Applicant

Toshio MANAKA, et al.

Filed

: November 25, 2003

TC/A.U.

: 3683

Docket No.

056203.52940US

Customer No.

: 23911

Title

: ELECTRO MECHANICAL BRAKE, CONTROL DEVICE AND

CONTROL METHODS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 and 1.98

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

An English abstract summarizing the disclosure of each of the German publications is submitted herewith.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits. Furthermore, I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement and therefore no fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does

not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, the paper should be considered as a petition for consideration of the Information Disclosure Statement under 37 C.F.R. §1.97(d)(2) and that the petition fee set forth in 37 C.F.R. §1.17(i) in accordance with 37 C.F.R. §1.97(d)(3) should be charged to Deposit Account No. 05-1323 (Docket #056203.52940US).

Respectfully submitted,

March 25, 2004

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Substitute for form 1449A/PTO				Complete if Known		
INFORMATION DISCLOSURE				Application Number	10/720,481	
				Filing Date	November 25, 2003	
	STATEMENT BY API	PLIC	ANT	First Named Inventor	Toshio MANAKA	
(use as many sheets as necessary)				Art Unit	3683	
				Examiner Name		
Sheet	1	of	1	Attorney Docket Number	056203.52940US	

U.S. PATENT DOCUMENTS						
Examiner Initials'	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	NO.	Number-Kind Code ² (if known)				
		US-				
		US-				
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FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁸
		DE 101 18 262 A1	10-17-2002	WEIBERLE et al.		AB
		DE 198 26 130 A1	12-16-1999	WEIBERLE et al.		AB
		DE 198 38 885 A1	03-02-2000	WINNER et al.		AB
		DE 198 38 886 A1	10-07-1999	BALZ et al.		AB
		DE 199 01 581 A1	05-11-2000	HEICHEL		AB
		EP 1 085 240 A1	03-21-2001	TAMASHO, et al.		†

		NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.